



Section
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Lucas, et al.

Serial No. 09/645,014

Art Unit: 2122

Filed: August 23, 2000

Examiner: Unknown

For: **REMOTE SOFTWARE INSTALLATION AND MAINTENANCE**

Box: Missing Parts
Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

I, JAMES D. IVEY, certify that this paper or fee and the listed enclosed papers, and return postcard are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to Box: Missing Parts, Honorable Assistant Commissioner for Patents, Washington, D.C. 20231 on December 18, 2000.

December 18, 2000

Dear Sirs:


James D. Ivey, Reg. No. 37,016

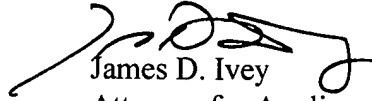
RESPONSE TO NOTICE TO FILE MISSING PARTS -- FILING DATE GRANTED

The following papers are submitted in response to the Notice to File Missing Parts -- Filing Date Granted mailed on October 16, 2000.

1. A copy of the Notice to File Missing Parts (Form PTO-1533) supplied by the Patent Office (1 page);
2. Signed Declaration for Patent Application - executed in counterpart (9 pages);
3. Assignment of the invention to: Liquid Audio, Inc. -- executed in counterpart (3 pages) with Assignment Recordation Coversheet (1 page);
4. Information Disclosure Statement with certification of mailing by First Class Mail (2 pages);
5. Information Disclosure Statement form -- list of documents (1 page);
6. Six (6) disclosed documents; and
7. A check in the amount of \$1,330 for surcharge fees (\$130.00), filing fees (\$1,160.00), and Assignment Recordation fees (\$40.00).

Applicants respectfully submit that the referenced application is now in a condition for examination. Any questions or comments with respect to the referenced application or with respect to this paper should be directed to the undersigned by telephone at (510) 336-1100.

Respectfully submitted,


James D. Ivey
Attorney for Applicant
Reg. No. 37,016

LAW OFFICES OF JAMES D. IVEY
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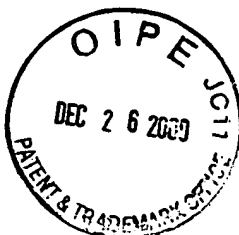


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/645,014	08/23/2000	Paul J. Lucas	P-2138D1

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FORMALITIES LETTER



OC000000005477384

Date Mailed: 10/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$450.
 - \$450 for 25 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1270.

12/28/2000 MAHME1 00000031 09645014

01 FC:101	710.00 OP
02 FC:103	450.00 OP
03 FC:105	130.00 OP

Copy of this notice MUST be returned with the reply.

Received

OCT 23 2000

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Law Offices of
James D. Ivey

By: